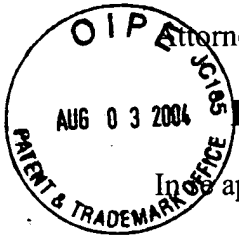


IFW



Attorney Docket No. IMMR-095/02US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Erik J. SHAHOIAN et al.

Serial No.: 10/816,954

Examiner: Unassigned

Filed: April 5, 2004

Art Unit: 2673

Confirmation No.: 2293

For: **LOW-COST HAPTIC MOUSE IMPLEMENTATIONS**

U.S. Patent and Trademark Office
220 20th Street South
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.


- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
 - ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
 - ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ___ in the amount of \$___ for the total fee is attached.
- ☐ A return receipt postcard is also enclosed.
- ☐ Please charge \$___ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

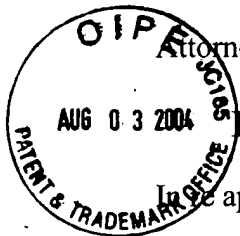
Dated: August 3, 2004

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Respectfully submitted,
COOLEY GODWARD LLP

By: 

Erik B. Milch
Reg. No. 42,887



Attorney Docket No. **IMMR-095/02US**

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of Erik J. SHAHOIAN et al.

Serial No.: 10/816,954

Examiner: Unassigned

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 (1 of 2) is provided on the enclosed CD.
- ☒ No copies of the publications listed on the attached Form PTO/SB/08A (2 of 2) are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 09/759,780 (now U.S. Patent No. 6,717,573), 09/563,783 (now U.S. Patent No. 6,353,427), 09/253,132 (now U.S. Patent 6,243,078), 09/456,887 (now U.S. Patent No. 6,211,861) and/or 09/103,281 (now U.S. Patent No. 6,088,019) to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) _____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending U.S. Patent Application Serial No. _____

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.


It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: August 3, 2004

Cooley Godward LLP
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Respectfully submitted,
COOLEY GODWARD LLP

By:



Erik B. Milch
Reg. No. 42,887



(1 of 2)

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **1** of **7****Complete if Known**

Application Number	10/816,954
Filing Date	April 5, 2004
First Named Inventor	Erik J. Shahoian
Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	IMMR095/02US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
		20030201975	10/30/03	Bailey et al.	
		20020030663	3/14/02	Tierling et al.	
		20020024501	03/04/1999	Shalit	
		6,717,573	4/6/04	Shahoian et al.	
		6,707,443	3/16/04	Bruneau et al.	
		6,585,595	7/1/03	Soma et al.	
		6,424,333	7/23/02	Tremblay et al.	
		6,422,941	7/23/2002	Thomer et al.	
		6,317,032	11/13/01	Oishi	
		6,275,213	8/14/01	Tremblay et al.	
		6,211,861	4/3/01	Rosenberg et al.	
		6,198,206	3/6/01	Saarmaa et al.	
		6,184,868	2/6/01	Shahoian et al.	
		6,160,489	12/12/2000	Perry et al.	
		6,111,577	8/29/2000	Zilles et al.	
		6,104,158	8/5/00	Jacobus et al.	
		6,088,019	7/11/00	Rosenberg	
		6,088,017	7/11/00	Tremblay et al.	
		6,078,126	6/20/00	Rollins et al.	
		6,044,646	4/4/00	Silverbrook	
		6,004,134	12/21/99	Marcus et al.	
		6,001,014	12/14/99	Ogata et al.	
		5,986,643	11/16/99	Harvill et al.	
		5,984,880	11/16/99	Lander et al.	
		5,973,670	10/26/99	Barber et al.	
		5,945,772	8/31/99	Macnak et al.	
		5,914,705	6/22/99	Johnson et al.	
		5,897,437	4/27/99	Nishiumi et al.	
		5,894,263	4/13/99	Shimakawa et al.	
		5,889,672	3/30/99	Schuler et al.	
		5,857,986	1/12/99	Moriyasu	
		5,805,140	9/8/98	Rosenberg et al.	
		5,790,108	8/4/98	Salcudean et al.	
		5,784,052	7/21/98	Keyson	

Examiner
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**INFORMATION DISCLOSURE
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Sheet **2** of **7****Complete if Known**

Application Number	10/816,954
Filing Date	April 5, 2004
First Named Inventor	Erik J. Shahoian
Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	IMMR095/02US

U.S. PATENT DOCUMENTS

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		Number Kind Code ² (if known)			
		5,781,172	7/14/98	Engel et al.	
		5,754,023	5/19/98	Roston et al.	
		5,742,278	4/21/98	Chen et al.	
		5,739,811	4/14/98	Rosenberg et al.	
		5,736,978	4/7/98	Hasser et al.	
		5,734,373	3/31/98	Rosenberg et al.	
		5,714,978	2/3/98	Yamanaka et al.	
		5,709,219	1/20/98	Chen et al.	
		5,690,582	11/25/1997	Ulrich et al.	
		5,684,722	11/4/97	Thorner et al.	
		5,669,818	9/23/97	Thorner et al.	
		5,661,446	8/26/97	Anderson et al.	
		5,643,087	7/1/97	Marcus et al.	
		5,631,861	5/20/97	Kramer	
		5,619,180	4/8/97	Massimino et al.	
		5,589,828	12/31/96	Armstrong	
		5,587,937	12/24/96	Massie et al.	
		5,583,478	12/10/96	Renzi	
		5,580,251	12/3/96	Gilkes et al.	
		5,575,761	11/19/1996	Hajianpour	
		5,565,840	10/15/96	Thorner et al.	
		5,440,183	8/8/95	Denne	
		5,437,607	8/1/1995	Taylor	
		5,436,622	7/25/1995	Gutman et al.	
		5,405,152	4/11/95	Katanics et al.	
		5,399,091	3/21/95	Mitsumoto	
		5,388,992	2/14/95	Franklin et al.	
		5,354,162	10/11/94	Burdea et al.	
		5,296,871	3/22/94	Paley	
		5,283,970	2/8/1994	Aigner	
		5,203,563	4/20/93	Loper, III	
		5,186,695	2/16/1993	Mangseth et al.	
		5,186,629	2/16/93	Rohen	
		5,184,319	2/2/93	Kramer	

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**INFORMATION DISCLOSURE
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Sheet **3** of **7****Complete if Known**

Application Number	10/816,954
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First Named Inventor	Erik J. Shahoian
Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	IMMR095/02US

U.S. PATENT DOCUMENTS

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		5,175,459	12/29/1992	Danial et al.	
		5,165,897	11/24/1992	Johnson	
		5,146,566	9/8/92	Hollis, Jr. et al.	
		5,107,262	4/21/92	Cadoz et al.	
		5,103,404	4/7/92	McIntosh	
		5,022,384	6/11/1991	Freels	
		4,885,565	12/5/89	Embach	
		4,868,549	9/19/89	Affinito et al.	
		4,795,296	1/3/89	Jau	
		4,731,603	3/15/88	McRae et al.	
		4,706,294	11/10/87	Ouchida	
		4,484,191	11/20/1984	Vavra	
		4,464,117	8/7/1984	Foerst	
		4,414,984	11/15/83	Zarudiansky	
		4,333,070	6/1/1982	Barnes	
		4,262,549	4/21/1981	Schwellenbach	
		4,127,752	11/28/1978	Lowthorp	
		2,972,140	2/14/1961	Hirsch	
		RE37,374	9/18/01	Roston et al.	

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	H2-185278		7/19/1990	Taito Corporation	
		JP	H4-8381		1/13/1992	Epoch Co. and Key- Planning Co.	
		JP	H7-24147		1/27/1995	Sega Corporation	
		JP	H5-192449		8/3/1993	Taito Corporation	
		WO	92/00559		9 Jan 92		
		EP	0607580		27.07.94		
		EP	0265011		27.04.88		
		EP	0626634		11.5.94		
		WO	01/03105		11.1.01		
		WO	01/24158		5.4.01		
		WO	97/31333		28.8.97		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/816,954	
			Filing Date	April 5, 2004	
			First Named Inventor	Erik J. Shahoian	
			Art Unit	2673	
			Examiner Name	Unassigned	
Sheet	4	of	7	Attorney Docket Number	IMMR095/02US

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		WO	01/13354		22 Feb 01		
		WO	98/32112		7.23.98		
		WO	99/40504		12.8.99		
		WO	02/27705		4.4.02		

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/816,954
		Filing Date	April 5, 2004
		First Named Inventor	Erik J. Shahoian
		Art Unit	2673
		Examiner Name	Unassigned
Sheet 5 of 7	Attorney Docket Number	IMMR095/02US	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		PATRICK, "Design, Construction, and Testing of a Fingertip Tactile Display for Interaction with Virtual and Remote Environments," <i>Master of Science Thesis</i> , MIT, Aug. 1990, archived Nov. 8, 1990.	
		CALDER, "Design of A Force-Feedback Touch-Introducing Actuator For Teleoperator Robot Control," <i>Bachelor of Science Thesis</i> , MIT, May 1983, archived June 23, 1983.	
		WIKER, "Teletouch Display Development: Phase 1 Report," <i>Technical Report 1230</i> , Naval Ocean Systems Center, San Diego, July 1988.	
		BLISS, "Optical-to-Tactile Image Conversion for the Blind," <i>IEEE Transactions on Man-Machine Systems</i> , Vol. MMS-11, No. 1, March 1970.	
		JOHNSON, "Shape-Memory Alloy Tactile Feedback Actuator," <i>Armstrong Aerospace Medical Research Laboratory</i> , AAMRL-TR-90-039, August, 1990.	
		KONTARINIS et al., "Tactile Display of Vibratory Information in Teleoperation and Virtual Environments," <i>PRESENCE</i> , 4(4):387-402, Harvard Univ., 1995.	
		AUKSTAKALNIS et al., "Silicon Mirage: The Art and Science of Virtual Reality," ISBN 0-938151-82-7, pp. 129-180, 1992.	
		EBERHARDT et al., "Inducing Dynamic Haptic Perception by The Hand: System Description and Some Results," <i>DSC-Vol. 55-1, Dynamic Systems and Control: Volume 1</i> , ASME 1994.	
		GOBEL et al., "Tactile Feedback Applied to Computer Mice," <i>International Journal of Human-Computer Interaction</i> , Vol. 7, No. 1, pp. 1-24, 1995.	
		PIMENTEL et al., "Virtual Reality: through the new looking glass," 2 nd Edition; McGraw-Hill, ISBN 0-07-050167-X, pp. 41-202, 1994.	
		"Cyberman Technical Specification," <i>Logitech Cyberman SWIFT Supplement to Logitech Mouse Technical Reference and Programming Guide</i> , 4/5/1994.	
		OUHYOUNG et al., "The Development of A Low-Cost Force Feedback Joystick and Its Use in the Virtual Reality Environment," <i>Proceedings of the Third Pacific Conference on Computer Graphics and Applications, Pacific Graphics '95</i> , Seoul, Korea, 21-24 August 1995.	
		KACZMAREK et al., "Tactile Displays," <i>Virtual Environment Technologies</i> , Chap. 9, pp. 349-414.	
		LAKE, "Cyberman from Logitech," at http://www.ibiblio.org/GameBytes/issue21/greviews/cyberman.html , 1994.	
		"Component Maintenance Manual With Illustrated Parts List, Coaxial Control Shaker Part No. C-25502," Safe Flight Instrument Corporation, Revised 28 January 2002 (3 pages).	

Examiner Signature		Date Considered	
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet **6** of **7****Complete if Known**

Application Number	10/816,954
Filing Date	April 5, 2004
First Named Inventor	Erik J. Shahoian
Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	IMMR095/02US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		"Technical Manual Overhaul Instructions With Parts Breakdown, Coaxial Control Shaker Part No. C-25502," Safe Flight Instrument Corporation, Revised 15 July 1980 (23 pages).
		SCANNELL, "Taking a Joystick Ride," <i>Computer Currents</i> , Boston Edition, Vol. 9, No. 11, November 1994
		YAMAKITA et al., "Tele-Virtual Reality of Dynamic Mechanical Model," <i>Proceedings of the 1992 IEEE/RSJ International Conference on Intelligent Robots and Systems</i> , Raleigh, NC, July 7-10, 1992
		NOLL, "Man-Machine Tactile," <i>SID Journal</i> , July/August 1972 Issue.
		ROSENBERG, "Virtual Fixtures: Perceptual Overlays Enhance Operator Performance In Telepresence Tasks," <i>Ph.D. Dissertation</i> , Stanford University, June 1994.
		Schmult, Brian et al., "Application Areas for a Force-Feedback Joystick," ASME 1993, DSC-Vol. 49, pp. 47-54.
		Hasser, Christopher John, "Tactile Feedback for a Force-Reflecting Haptic Display," The School of Engineering, University of Dayton, December 1995, pp. iii-xii & 1-96.
		Akamatsu, M. et al., "Multimodal Mouse: A Mouse-Type Device with Tactile and Force Display," <i>Presence</i> , Vol. 3, No. 1, 1994, pp. 73-80.
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		Ramstein, C., "Combining Haptic and Braille Technologies: Design Issues and Pilot Study," <i>ASSETS '96, 2nd Annual ACM Conf. on Assistive Technologies</i> , 1996, pp. 37-44.
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Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/816,954
		Filing Date	April 5, 2004
		First Named Inventor	Erik J. Shahoian
		Art Unit	2673
		Examiner Name	Unassigned
Sheet 7 of 7	Attorney Docket Number	IMMR095/02US	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		Kim, Won, "Telemanipulator Technology and Space Telerobotics," SPIE Proceedings, 1993, Vol. 2057, pp. 40-50.	

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Sheet 1 of 8

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Application Number	10/816,954
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Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	IMMR095/02US

U.S. PATENT DOCUMENTS

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		Number Kind Code ² (if known)			
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		6,100,874	08-2000	Schena et al.	
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		5,805,165	09-1998	Thorne, III et al.	

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Sheet **2** of **8****Complete if Known**

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First Named Inventor	Erik J. Shahoian
Art Unit	2673
Examiner Name	Unassigned
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U.S. PATENT DOCUMENTS					
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		Number Kind Code ² (if known)			
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Attorney Docket Number	IMMR095/02US

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
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		WO	95 32459		11-1995		

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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		Adachi et al., "Sensory Evaluation of Virtual Haptic Push-Buttons," 1994, Suzuki Motor Corp., pp. 1-7. Akamatsu et al., "Multimodal Mouse: A Mouse-Type Device with Tactile and Force Display," 1994, Presence vol. 3, pp. 73-80.
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STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **7** of **8****Complete if Known**

Application Number	10/816,954
Filing Date	April 5, 2004
First Named Inventor	Erik J. Shahoian
Art Unit	2673
Examiner Name	Unassigned
Attorney Docket Number	IMMR095/02US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		Hasser, C. et al., "Tactile Feedback With Adaptive Controller for a Force-Reflecting Haptic Display--Part 1: Design," IEEE 0-7803-3131, Jan. 1996.	
		Hasser, C., "Tactile Feedback For a Force-Reflecting Haptic Display," School of Engineering, Univ. of Dayton, Dec. 1995.	
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		Ramstein et al., "The Pantograph: A Large Workspace Haptic Device for a Multimodal Human-Computer Interaction," Computer-Human Interaction, CHI 1994, pp. 1-3.	
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		Yokokoji et al., "What you can see is what you can feel," IEEE 0-8186-7295-1, 1996, pp. 46-54.	

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